

**Not all IBKR entities cover all products. See the tab "Coverage" for available products by entity. See the "Definitions" tab for terms and symbols used in this table.*

Product Type		Distributed		Distributed		Manufactured		Distributed		Distributed		Distributed		Distributed	
Product*		Margin Stock		Cash Stock		Stock Yield Enhancement Program (SYEP)		Margin Vanilla Bonds		Cash Vanilla Bonds		Margin Corporate and Structured Bonds		Cash Corporate and Structured Bonds	
Product Details		Stocks, ETFs, Fractional Stocks, and Fractional ETFs traded with leverage (margin loan)		Stocks, ETFs, Fractional Stocks, and Fractional ETFs traded without leverage		Stocks		Bonds traded with leverage (margin loan)		Bonds traded without leverage		Bonds traded with leverage (margin loan)		Bonds traded without leverage	
ESMA REQUIREMENT	DESCRIPTION	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET
Client type	Retail Clients	✓		✓		✓		✓		✓		✓		✓	
	Professional Clients	✓		✓		✓		✓		✓		✓		✓	
	Eligible Counterparties	✓		✓		✓		✓		✓		✓		✓	
Knowledge and Experience	Basic Investor		✗	✓		✓			✗	✓			✗	✓	
	Basic Investor +			✓		✓				✓				✓	
	Informed Investor	✓						✓				✓			
	Advanced Investor	✓						✓				✓			
Financial situation, with a focus on the ability to bear losses (tolerance expressed as a percentage)	Investors with no tolerance for loss in their investment or initial amount (0% loss)		✗		✗		✗		✗		✗		✗		✗
	Investors who tolerate a moderate loss in their investment of initial amount (<50% loss)					✓									
	Investors who tolerate a loss of the entire investment or initial amount (50> loss >100%)	✓		✓				✓		✓		✓		✓	
	Investors who tolerate losses exceeding their investment or initial amount (>100% loss)	✓						✓				✓			
Risk tolerance and compatibility of the risk/reward profile of the product with the target market	Conservative		✗						✗				✗		
	Balanced			✓		✓				✓				✓	
	Risk-oriented/speculative	✓						✓				✓		✓	
	Compatible with a PRIIPs Summary Risk Indicator (SRI)	NA		NA		NA		NA		NA		NA		NA	
Clients' Objectives and Needs															
Intended Purpose of Trading	Growth	✓		✓		✓		✓		✓		✓		✓	
	Hedging	✓				✓		✓		✓		✓		✓	
	Preservation of capital and income generation		✗			✓			✗				✗		
	Profits from active trading and speculation	✓				✓		✓				✓			
Distribution Strategy	ESG Objectives**														
	Advised														
	Non-Advised														
	Execution only	✓		✓		✓		✓		✓		✓		✓	
	Discretionary														

** see Definitions

Product Type		Distributed		Distributed		Distributed		Distributed		Distributed		Distributed		Distributed	
Product*		Options - Level 1		Options - Level 2		Options - Level 3		Options - Level 4		Index Futures		Commodity Futures		Forex Futures	
Product Details		Listed options and related strategies: Long Puts/Calls, Covered Calls		Listed options and related strategies: Covered Options Positions		Listed options and related strategies: Limited Loss, including Short Put		Listed options and related strategies: Any including Short Call		Listed Futures		Listed Futures		Listed Futures	
ESMA REQUIREMENT	DESCRIPTION	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET
Client type	Retail Clients	✓		✓		✓		✓		✓		✓		✓	
	Professional Clients	✓		✓		✓		✓		✓		✓		✓	
	Eligible Counterparties	✓		✓		✓		✓		✓		✓		✓	
Knowledge and Experience	Basic Investor		✗		✗		✗		✗		✗		✗		✗
	Basic Investor +	✓													
	Informed Investor	✓		✓		✓		✓		✓		✓		✓	
	Advanced Investor	✓		✓		✓		✓		✓		✓		✓	
Financial situation, with a focus on the ability to bear losses (tolerance expressed as a percentage)	Investors with no tolerance for loss in their investment or initial amount (0% loss)		✗		✗		✗		✗		✗		✗		✗
	Investors who tolerate a moderate loss in their investment of initial amount (<50% loss)														
	Investors who tolerate a loss of the entire investment or initial amount (50> loss >100%)	✓		✓		✓		✓		✓		✓		✓	
	Investors who tolerate losses exceeding their investment or initial amount (>100% loss)					✓		✓		✓		✓		✓	
Risk tolerance and compatibility of the risk/reward profile of the product with the target market	Conservative		✗		✗		✗		✗		✗		✗		✗
	Balanced	✓		✓											
	Risk-oriented/speculative	✓		✓		✓		✓		✓		✓		✓	
	Compatible with a PRIIPs Summary Risk Indicator (SRI)	7		7		7		7		7		7		7	
Clients' Objectives and Needs															
Intended Purpose of Trading	Growth	✓		✓		✓		✓		✓		✓		✓	
	Hedging	✓		✓		✓		✓		✓		✓		✓	
	Preservation of capital and income generation	✓			✗		✗		✗		✗		✗		✗
	Profits from active trading and speculation	✓		✓		✓		✓		✓		✓		✓	
Distribution Strategy	ESG Objectives**														
	Advised														
	Non-Advised														
	Execution only	✓		✓		✓		✓		✓		✓		✓	
	Discretionary														

** see Definitions

Product Type		Distributed		Distributed		Manufactured		Manufactured		Manufactured		Manufactured		Distributed	
Product*		Futures (German Retail Clients)		Leveraged Forex		Stock CFDs		Indec CFDs		Forex CFDs		Precious Metals CFDs		Complex Leveraged Products	
Product Details		Listed Futures		Cash Forex with margin loan		Share-CFDs		Index-CFDs		FX-CFDs		Precious Metal-CFDs		Leveraged & Inverse ETFs/ETNs/ETCs or ones having risky underlyings. Covered Warrants and Structured Products	
ESMA REQUIREMENT	DESCRIPTION	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET
Client type	Retail Clients	✓		✓		✓		✓		✓		✓		✓	
	Professional Clients			✓		✓		✓		✓		✓		✓	
	Eligible Counterparties			✓		✓		✓		✓		✓		✓	
Knowledge and Experience	Basic Investor		x		x		x		x		x		x		x
	Basic Investor +														
	Informed Investor	✓		✓		✓		✓		✓		✓		✓	
	Advanced Investor	✓		✓		✓		✓		✓		✓		✓	
Financial situation, with a focus on the ability to bear losses (tolerance expressed as a percentage)	Investors with no tolerance for loss in their investment or initial amount (0% loss)		x		x		x		x		x		x		x
	Investors who tolerate a moderate loss in their investment of initial amount (<50% loss)														
	Investors who tolerate a loss of the entire investment or initial amount (50> loss >100%)	✓		✓		✓		✓		✓		✓		✓	
	Investors who tolerate losses exceeding their investment or initial amount (>100% loss)		x	✓		✓		✓		✓		✓		✓	
Risk tolerance and compatibility of the risk/reward profile of the product with the target market	Conservative		x		x		x		x		x		x		x
	Balanced														
	Risk-oriented/speculative	✓		✓		✓		✓		✓		✓		✓	
	Compatible with a PRIIPs Summary Risk Indicator (SRI)	7		NA		7		7		7		7		7	
Clients' Objectives and Needs															
Intended Purpose of Trading	Growth	✓		✓		✓		✓		✓		✓		✓	
	Hedging	✓		✓		✓		✓		✓		✓		✓	
	Preservation of capital and income generation		x		x		x		x		x		x		x
	Profits from active trading and speculation	✓		✓		✓		✓		✓		✓		✓	
Distribution Strategy	ESG Objectives**														
	Advised														
	Non-Advised														
	Execution only	✓		✓		✓		✓		✓		✓		✓	
	Discretionary														

** see Definitions

Product Type		Distributed		Manufactured		Distributed		Distributed		Manufactured	
Product*		Crypto Futures		Precious Metals Derivatives		Margin Mutual Funds		Cash Mutual Funds		LME Lookalike Futures	
Product Details		Listed Futures on crypto currencies		Cash London Gold and Silver derivative		Mutual Funds traded with leverage (margin loan)		Mutual Funds traded without leverage		OTC contracts on non-ferrous metals traded on the LME	
ESMA REQUIREMENT	DESCRIPTION	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET	TARGET MARKET CRITERIA	NEGATIVE TARGET MARKET
Client type	Retail Clients	✓		✓		✓		✓		✓	
	Professional Clients	✓		✓		✓		✓		✓	
	Eligible Counterparties	✓		✓		✓		✓		✓	
Knowledge and Experience	Basic Investor		x		x		x	✓			x
	Basic Investor +							✓			
	Informed Investor	✓		✓		✓				✓	
	Advanced Investor	✓		✓		✓				✓	
Financial situation, with a focus on the ability to bear losses (tolerance expressed as a percentage)	Investors with no tolerance for loss in their investment or initial amount (0% loss)		x		x		x		x		x
	Investors who tolerate a moderate loss in their investment of initial amount (<50% loss)										
	Investors who tolerate a loss of the entire investment or initial amount (50> loss >100%)	✓		✓		✓		✓		✓	
	Investors who tolerate losses exceeding their investment or initial amount (>100% loss)	✓		✓		✓				✓	
Risk tolerance and compatibility of the risk/reward profile of the product with the target market	Conservative		x		x		x				x
	Balanced							✓			
	Risk-oriented/speculative	✓		✓		✓				✓	
	Compatible with a PRIIPs Summary Risk Indicator (SRI)	7		7		1 - 6		1 - 6		7	
Clients' Objectives and Needs											
Intended Purpose of Trading	Growth	✓		✓		✓		✓		✓	
	Hedging	✓		✓		✓				✓	
	Preservation of capital and income generation		x		x		x				x
	Profits from active trading and speculation	✓		✓		✓				✓	
Distribution Strategy	ESG Objectives**										
	Advised										
	Non-Advised										
	Execution only	✓		✓		✓		✓		✓	
	Discretionary										

** see Definitions

Product Coverage

Product/Entity	IBUK	IBIE	IBCE
Margin Stock & Bonds	✓	✓	✓
Cash Stock & Bonds	✓	✓	✓
Options	✓	✓	✓
Futures	✓	✓	✓
Leveraged Forex	✓	✗	✗
CFDs	✓	✓	✓
Complex Leveraged Products	✓	✓	✓
Crypto Futures	✓	✓	✓
Precious Metals Derivatives	✓	✓	✗
Margin Mutual Funds	✓	✓	✓
Cash Mutual Funds	✓	✓	✓
LME Lookalike Futures	✓	✓	✗

Interactive Brokers (U.K.) Limited - "IBUK"

Interactive Brokers Ireland Limited - "IBIE"

Interactive Brokers Central Europe Zrt. - "IBCE"

Definitions of Principal Terms used in the Target Market and Strategy Table

Knowledge and Experience

Basic Investor: Investors who have elementary-level knowledge and experience in relevant product categories.

Basic Investor + : Investors who have limited knowledge and experience in relevant product categories, and are aware of the risks related to relevant product categories

Informed Investor: Investors who have good knowledge and experience in relevant product categories.

Advanced Investor: Investors who have extensive knowledge and experience in relevant product categories.

Risk tolerance

Conservative: Investors who tolerate no loss or only a moderate loss in their investment or initial amount.

Balanced: Investors who tolerate a loss of the entire investment or initial amount. Investment strategies.

Risk-oriented/speculative: Investors who tolerate losses exceeding their investment or initial amount.

Intended Purpose of Trading

Growth: to increase the principal value of your investments over time rather than seek current income. Investor assumes higher degree of risk.

Hedging: To take positions in a product to hedge or offset the risk in another product.

Preservation of Capital and Income Generation: To seek maximum safety and stability for your principal by focusing on securities and investments that carry a low degree of risk and/or to generate dividend, interest or other income instead of or in addition to long-term capital appreciation.

Profits from Active Trading and Speculation: to increase the principal value of your investments by assuming substantially higher risk to your investment capital and/or to substantially increase the principal value of your investments by assuming substantially higher risk to your investment capital.

ESG Objectives: IBKR makes ESG metrics available through its Impact app. The information covers a large universe of corporations globally, but not necessarily every stock or bond available to trade at IBKR.

Symbols

✓ : Positive target market in the relevant product categories

✗ : Negative target market in the relevant product categories

(BLANK): Clients are neither in the positive nor in the negative target market in the relevant product category. It is considered as neutral, as the product is not completely incompatible with the client.